

**CLINICAL TRIALS FROM MOUSE TO MAN:  
PITFALLS OF TRANSLATING PROMISING STUDIES**

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# CLINICAL TRIALS IN ALS

- Drug Development
- Current approaches to treat ALS
- Why previous trials failed

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# HOW ARE NEW DRUGS FOUND?

- Pre-existing knowledge
- The prepared mind
- Serendipity
- Targeted development

# PRE-EXISTING KNOWLEDGE



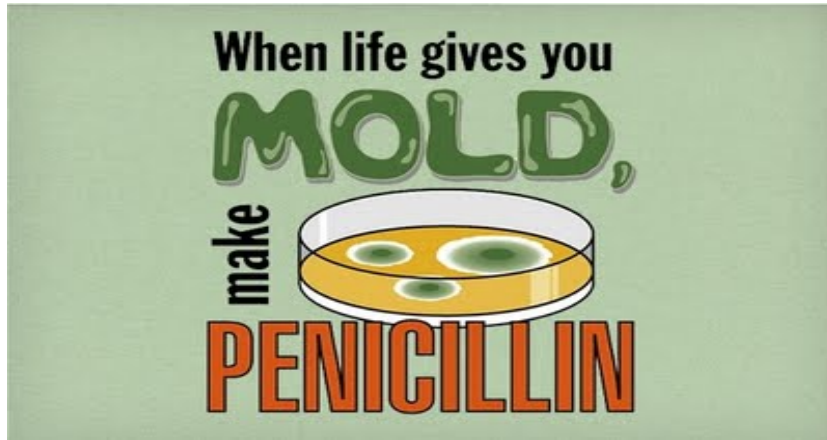
White Willow.  
-Aspirin

Opium



Belladonna,  
Atropine /hyoscine

## The Prepared Mind



*When I woke up just after dawn on September 28, 1928, I certainly didn't plan to revolutionise all medicine by discovering the world's first antibiotic, or bacteria killer,"*

# SERENDIPITY



**Table 1.** Incomplete list of serendipitous discoveries in drug research.

Compound	Accidental Discovery	Ref.
Acetanilide	tested as internal antiseptic (instead of naphthalene)	4,8
Acetylsalicylic acid	irreversible enzyme inhibitor (vs. salicylic acid prodrug)	4,8
Aminoglutethimide	breast cancer treatment (instead of antiepileptic)	4
Amphetamine	stimulant (instead of nasal decongestant)	4
Chloral hydrate	prodrug of trichloroethanol (instead of chloroform)	4,8
Chlordiazepoxide	tranquillizer (unexpected chemical rearrangement)	1,4,6-9
Chlorpromazine	neuroleptic (tested to prevent surgical shock)	1,4,7,8
Cinnarizine	cardiovascular (predominant to antihistaminic) activity	4
Cisplatin	cytotoxic effect of electrolysis product	4
Clonidine	antihypertensive (instead of nasal decongestant)	4,6,8
Cromoglycate	antiallergic (accidental formation of chromone dimer)	4
Cyclosporin	immunosuppressant (instead of antifungal agent)	1,4
Dichloroisoprenaline	$\beta$ -blockade (instead of bronchodilation)	4
Dicoumarol	fatal cattle poisoning (bleeding) by moldy hay	4,5,8
Diethylstilbestrol	estrogenic impurity of anol (dimerization product)	4
Diphenhydramine	allergy treatment caused prevention of travel sickness	4,5,8
Diphenoxylate	antidiarrhoic (instead of analgesic)	4
Disulfiram	hypersensitivity to alcohol	5,8
Ether	anesthetic activity in inhalation party	1,4,8
Etomidate	anesthetic (instead of chemotherapeutic) activity	4
Griseofulvin	growth inhibition of conifers on certain soils	4
Guanethidine	antihypertensive (instead of antitrypanosomal drug)	4,6
Haloperidol	neuroleptic (instead of analgesic) activity	4,5,8
Heparin	deterioration of lipid coagulant unmasked anticoagulant	4
Imipramine	antidepressant (instead of neuroleptic) activity	1,4,5,8
Iproniazid	antidepressant (instead of tuberculostatic) activity	4,5,8
Isoniazid	tuberculostatic activity of organic intermediate	4,5,8
Levamisole	immunomodulating (instead of antiparasitic) agent	8
Lithium carbonate	antidepressant activity of lithium urate	1,4,7,8
Lysergide (LSD)	hallucinogenic (instead of cardiovascular) activity	4,7,8
Meprobamate	tranquillizer (instead of muscle relaxant)	1,4,7
Merbaphen	diuretic activity (of an antisyphilitic agent)	4,8
Methaqualone	hypnotic (instead of antimalarial activity)	4
Mifepristone	antiprogesterone (instead of glucocorticoid) activity	4
Naftifine	antifungal rearrangement product of CNS drug	4,8
Nalorphine	antagonism instead of respiratory stimulation	4
Nitrogen mustard	cytotoxicity observed after ship bombardment	1,5,8
Nitroglycerin	antianginal activity (headache after inhalation)	4
Nitrous oxide	accidental wounding in laughing gas session	1,4,8
Norethynodrel/Mestranol	estrogenic impurity in the first oral contraceptive	4
Penicillin	antibiotic activity of <i>Penicillium</i> infection	1,4,5,8
Pethidine (meperidine)	morphine agonist (instead of spasmolytic)	4,5,8
Phenylbutazone	antiinflammatory activity of solubility enhancer	4,8
Phenolphthalein	laxative (tested as label for cheap wines)	4,8
Praziquantel	antiparasitic agent (instead of antidepressant activity)	8
Prednisone	bacterial oxidation produced highly active analog	4
Propafenone	antiarrhythmic (instead of $\beta$ -blocker)	4
Sulphamidochrysoidine	prodrug of sulfanilamide (active only <i>in vivo</i> )	1,4,5,8
Sulfonamides, various	diuretic and antidiabetic side effects	4,5,8
Tamoxifen	antiestrogenic activity of <i>cis</i> -isomer	4
Urethane	hypnotic activity (instead of alcohol prodrug)	4,8
Valproic acid	anticonvulsant (solubility enhancer for various drugs)	4
Warfarin	low acute toxicity of rat poison in attempted suicide	5,8,10

# CLINICAL TRIALS IN ALS

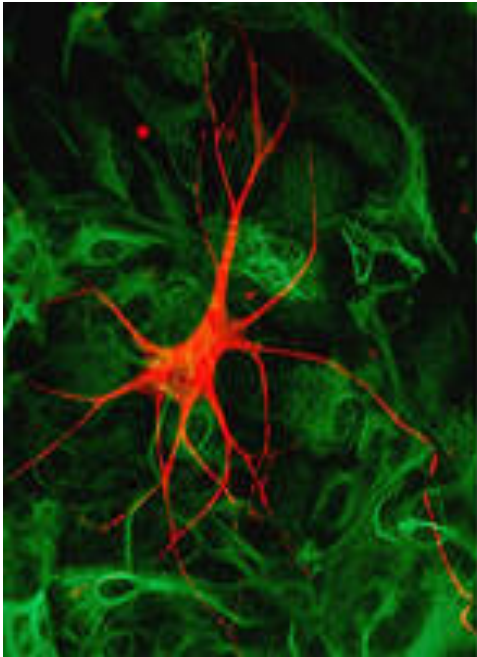
- Drug Development
- Current approaches to treat ALS
- Why previous trials failed



# **PRECLINICAL**

LABORATORY BASED DRUG  
DISCOVERY AND DEVELOPMENT

# LABORATORY MODELS



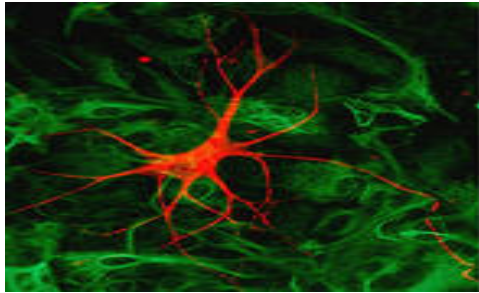
Motor neuron  
cultures



Transgenic SOD1  
mice



Zebrafish

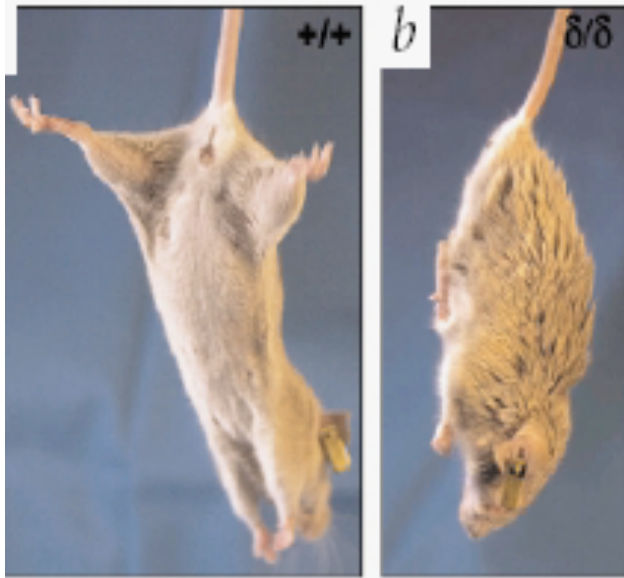


# THE ROLE OF THE LABORATORY MODEL

UNDERSTANDING AND MANIPULATION OF PATHWAYS

CHANGING THE COURSE OF THE DISEASE BY TARGETING PATHWAYS

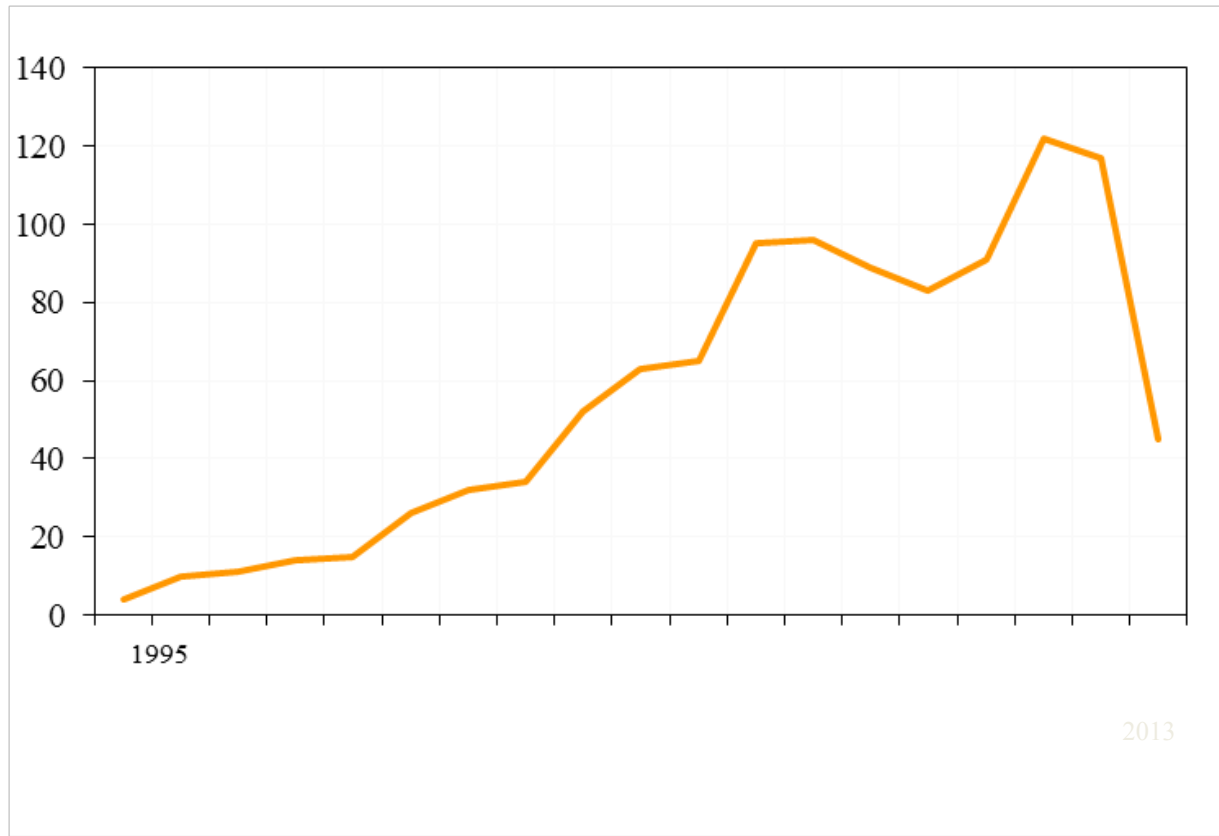
# SOD1 TRANSGENIC ANIMAL MODEL



- Biology of neurodegeneration**
- Drug efficacy
  - Drug toxicity

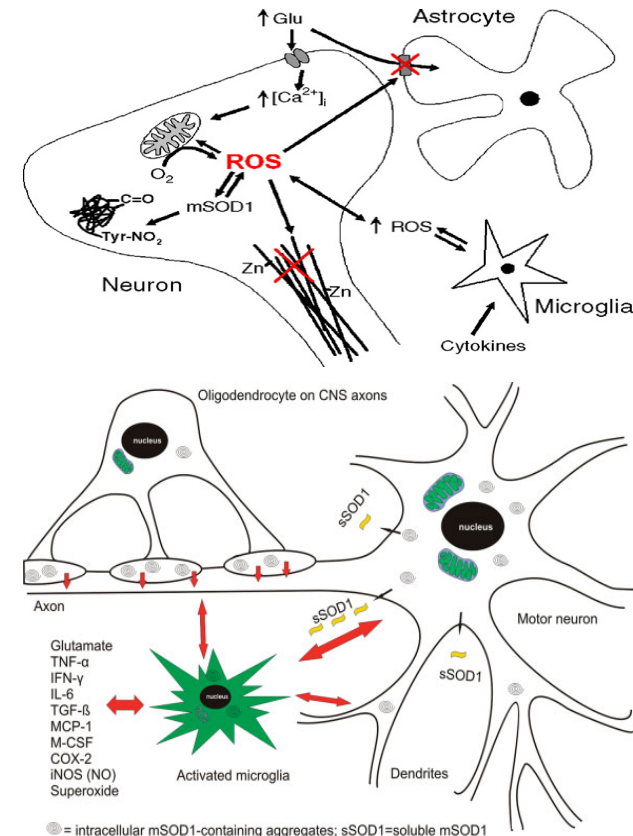
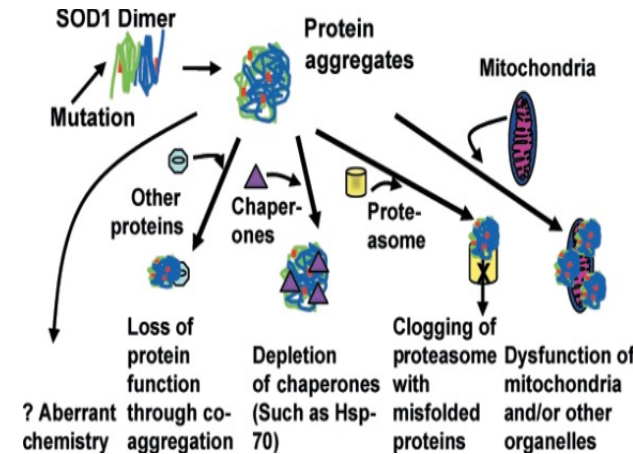
# SOD1 Transgenic Mouse (1995-2014)

## 2060 publications



# SOD1 ALS in MICE

- Protein misfolding
- Glutamate excitotoxicity
- RNA processing
- Glia cell pathology
- Oxidative stress
- Apoptosis
- Inflammation
- Axonal transport
- Mitochondrial dysfunction
- ???



# TARGETS FROM WHICH DRUGS HAVE BEEN DEVELOPED

Glutamate excitotoxicity

RNA processing

Glia cell pathology

Oxidative stress

Apoptosis

Inflammation

Axonal transport

Mitochondrial dysfunction

# **CLINICAL TRIALS IN MICE**

**Over 500 Publications  
(1995-2014)**



# PITFALLS OF MOUSE STUDIES

*Amyotrophic Lateral Sclerosis*. 2008; 9: 4–15

**informa**  
healthcare

## REVIEW ARTICLE

### **Design, power, and interpretation of studies in the standard murine model of ALS**

SEAN SCOTT<sup>1</sup>, JANICE E. KRANZ<sup>1</sup>, JEFF COLE<sup>1</sup>, JOHN M. LINCECUM<sup>1</sup>,  
KENNETH THOMPSON<sup>1</sup>, NANCY KELLY<sup>1</sup>, ALAN BOSTROM<sup>2</sup>, JILL THEODOSS<sup>1</sup>,  
BASHAR M. AL-NAKHALA<sup>1</sup>, FERNANDO G. VIEIRA<sup>1</sup>, JEYANTHI RAMASUBBU<sup>1</sup> &  
JAMES A. HEYWOOD<sup>1</sup>

<sup>1</sup>*ALS Therapy Development Institute, Cambridge, Massachusetts, and* <sup>2</sup>*Department of Epidemiology and Biostatistics, University of California, San Francisco, USA*

# MOUSE TRIALS

- Small numbers of animals
- Same genetic background
- Minimal differences within trial groups
- End points (depend on the model)
  - Survival
  - Rotarod performance & standardized neurological evaluation
  - Weight

# OTHER MOUSE PROBLEMS

- Animal models useful but limited...
  - **Anatomy differs**
  - **Genetic background important: “strain effect”**
  - **Gender Differences**
  - **“Copy number” effects**

# TRANSLATING FROM BENCH TO BEDSIDE



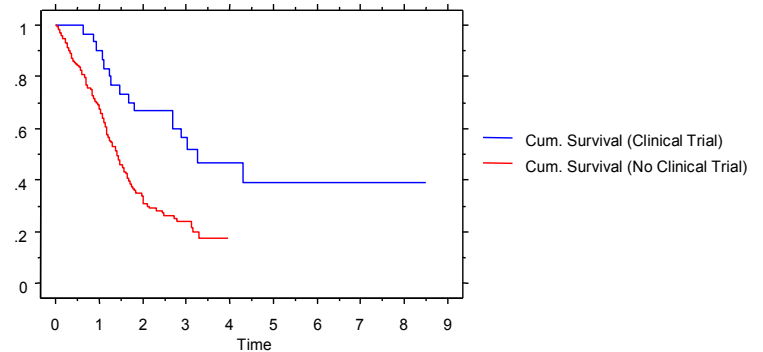
# **CLINICAL TRIALS IN HUMANS**

# HOW CAN WE TELL IF A DRUG WORKS?

- Patient stops deteriorating or improves
- Effect can be reproduced in other patients
- Placebo effect can be excluded
- Bias of observers can be excluded

# WHY DO WE NEED CLINICAL TRIALS?

- Placebo effect must be excluded
- Bias of observers must be excluded



# CLINICAL TRIALS

- **Phase 1 : Safety (Controls- unaffected by disease)**
- **Phase 2 : Proof of Concept (Small numbers with disease)**
- **Phase 3 : Pivotal (Large numbers, *required for regulatory approval*)**
- **Phase 4 : Post marketing**

**VERY COSTLY !**



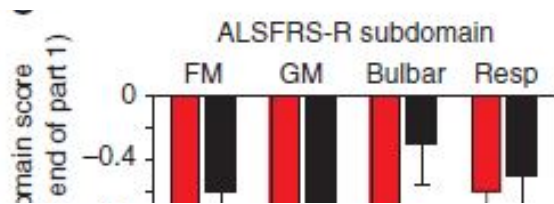
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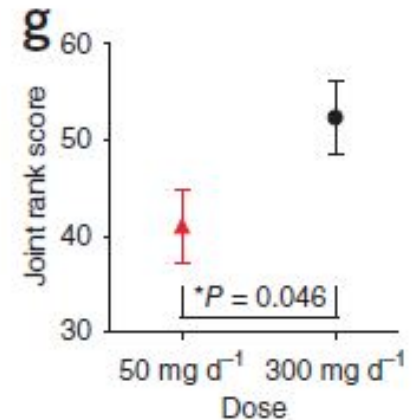
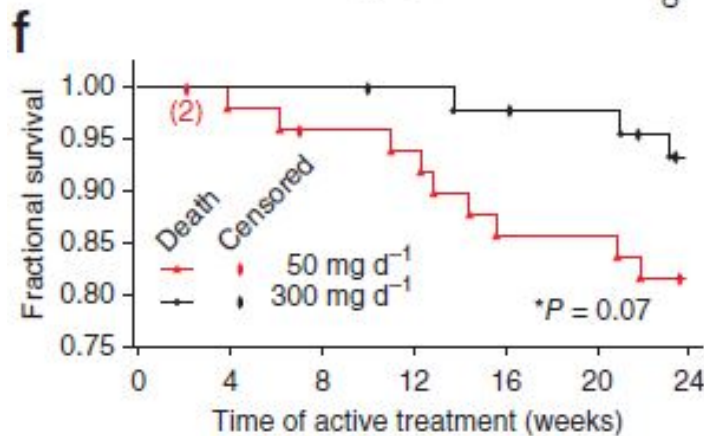
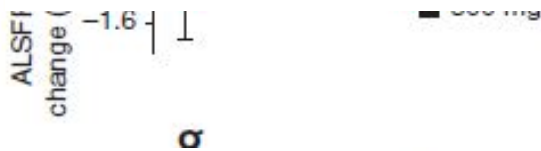
# The effects of dexpramipexole (KNS-760704) in individuals with amyotrophic lateral sclerosis

Merit Cudkowicz<sup>1</sup>, Michael E Bozik<sup>2</sup>, Evan W Ingersoll<sup>2</sup>, Robert Miller<sup>3</sup>, Hiroshi Mitsumoto<sup>4</sup>, Jeremy Shefner<sup>5</sup>, Dan H Moore<sup>3</sup>, David Schoenfeld<sup>6</sup>, James L Mather<sup>2</sup>, Donald Archibald<sup>2</sup>, Mary Sullivan<sup>2</sup>, Craig Amburgey<sup>2</sup>, Juliet Moritz<sup>2</sup> & Valentin K Gribkoff<sup>2</sup>

nature  
medicine



**“POSITIVE” PHASE II STUDY**



# Dexpramipexole versus placebo for patients with amyotrophic lateral sclerosis (EMPOWER): a randomised, double-blind, phase 3 trial



Merit E Cudkowicz, Leonard H van den Berg, Jeremy M Shefner, Hiroshi Mitsumoto, Jesus S Mora, Albert Ludolph, Orla Hardiman, Michael E Bozik, Evan W Ingersoll, Donald Archibald, Adam L Meyers, Yingwen Dong, Wildon R Farwell, Douglas A Kerr, for the EMPOWER investigators\*

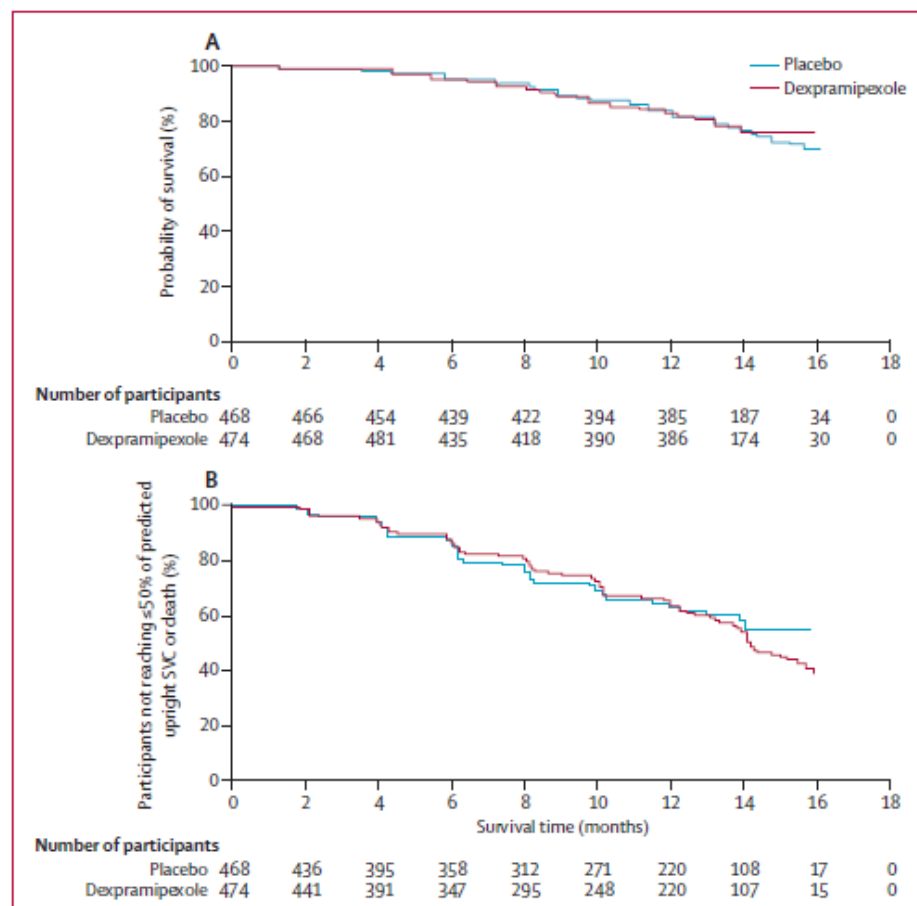
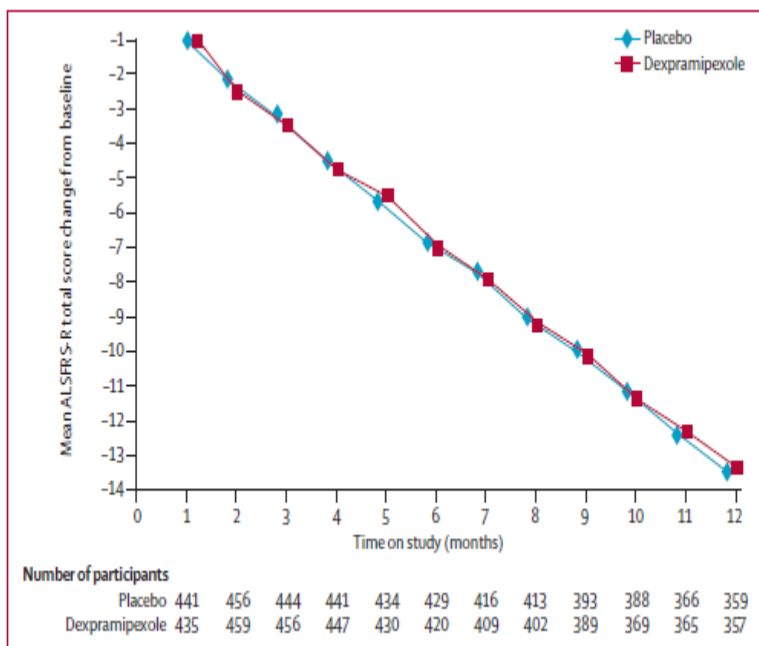
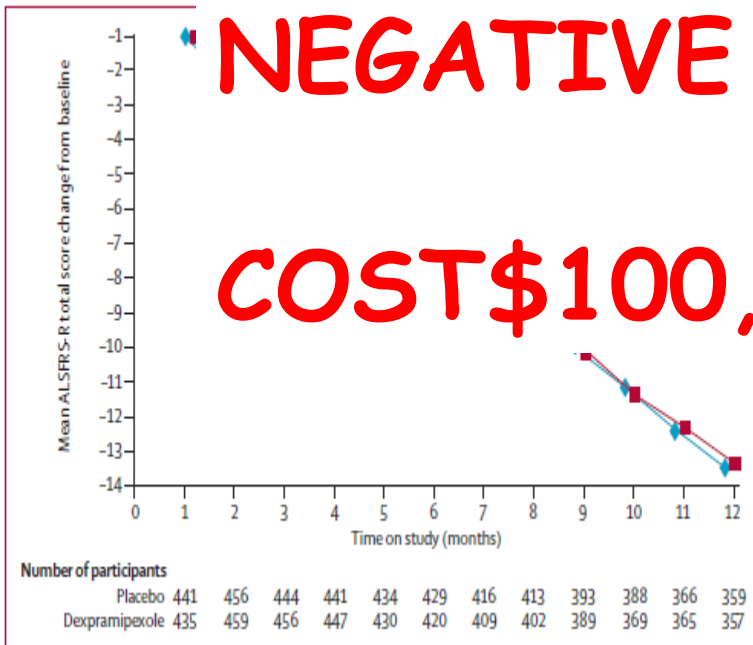
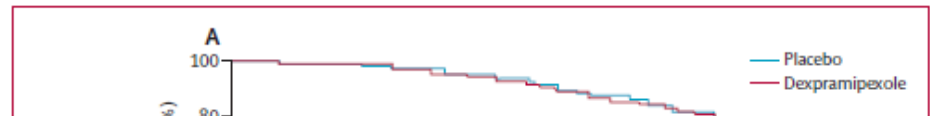


Figure 3: Kaplan-Meier analyses of survival time  $\leq 18$  months (A) and time to reach  $\leq 50\%$  slow vital capacity or death (B)

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**NEGATIVE PHASE 3 STUDY :**

**COST \$100,000,000**

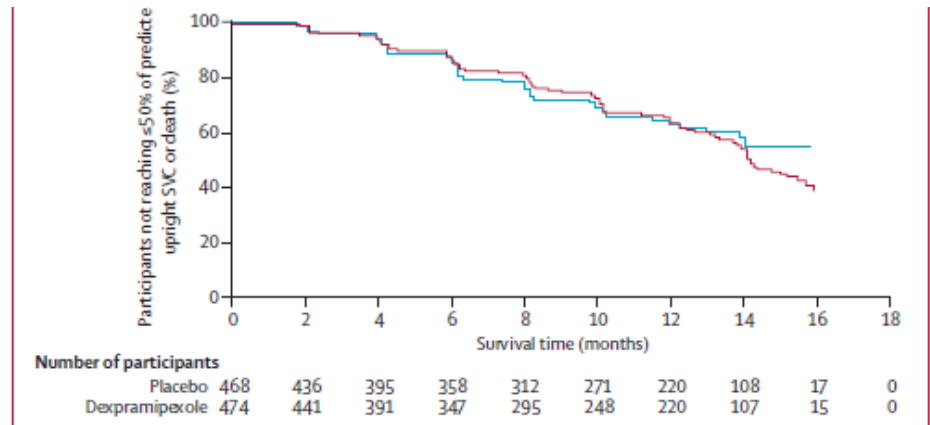


Figure 3: Kaplan-Meier analyses of survival time  $\leq 18$  months (A) and time to reach  $\leq 50\%$  slow vital capacity or death (B)

# WHY ARE PHASE II TRIALS POSITIVE AND PHASE III TRIALS NEGATIVE?

- Faulty trial design
- Disease heterogeneity
- (Drug doesn't work)

# FAULTY TRIAL DESIGN

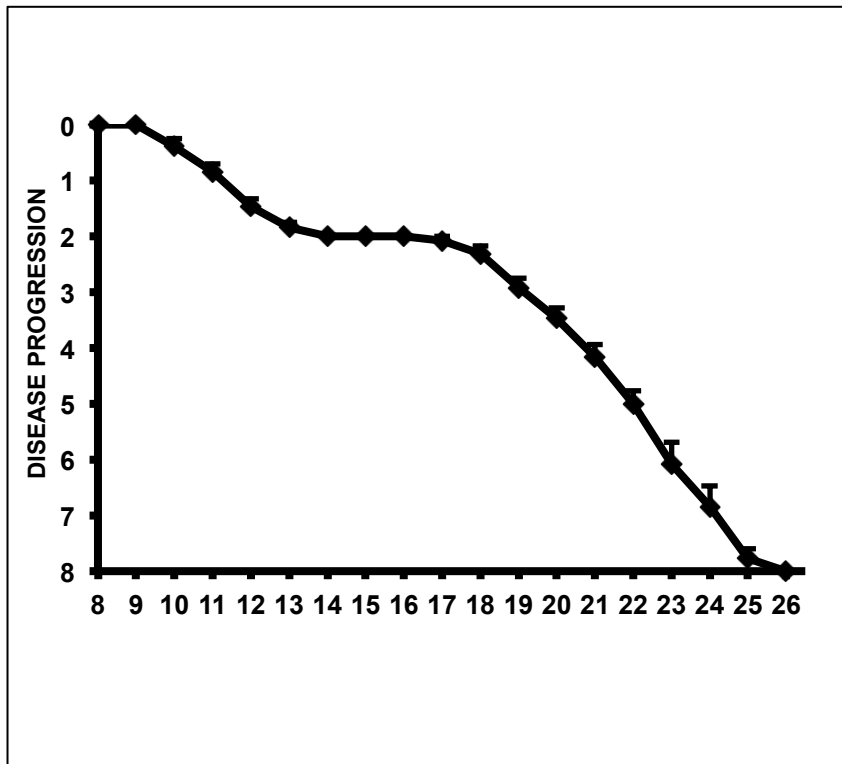
- Wrong dose was used
- Drug didn't get to the right target
- Wrong patient group was used

# WHY ARE PHASE II TRIALS POSITIVE AND PHASE III TRIALS NEGATIVE?

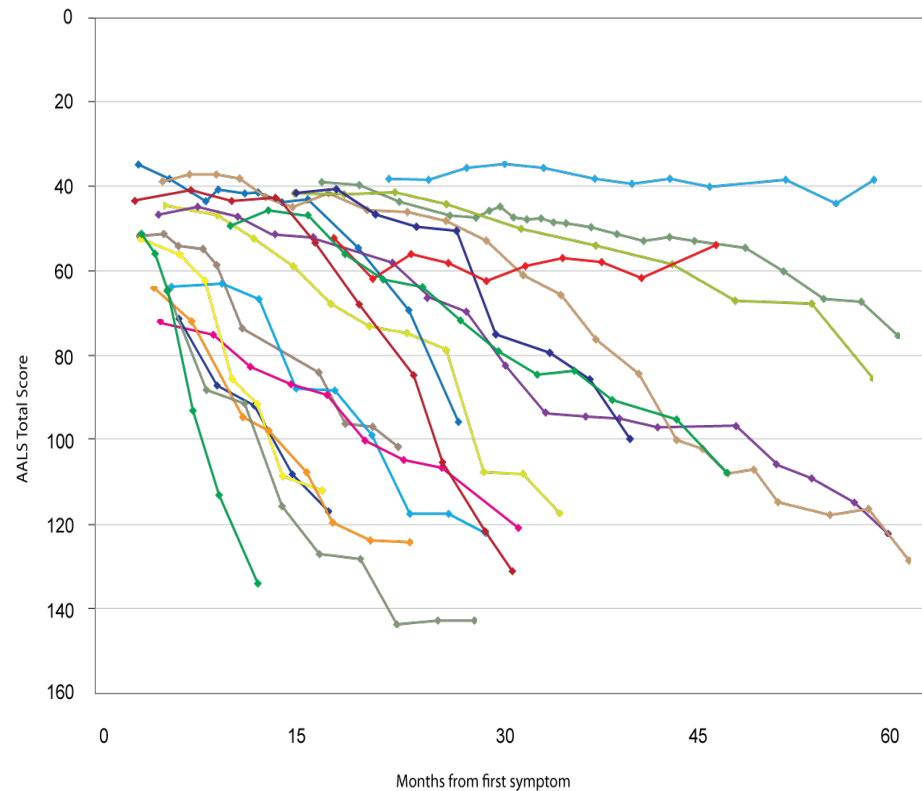
- Faulty trial design
- Disease heterogeneity
- (Drug doesn't work)

# OF MICE AND MEN: HOMOGENEITY VERSUS HETEROGENEITY OF ALS

## ALS mSOD1 Transgenic Mice



## Human ALS Patients



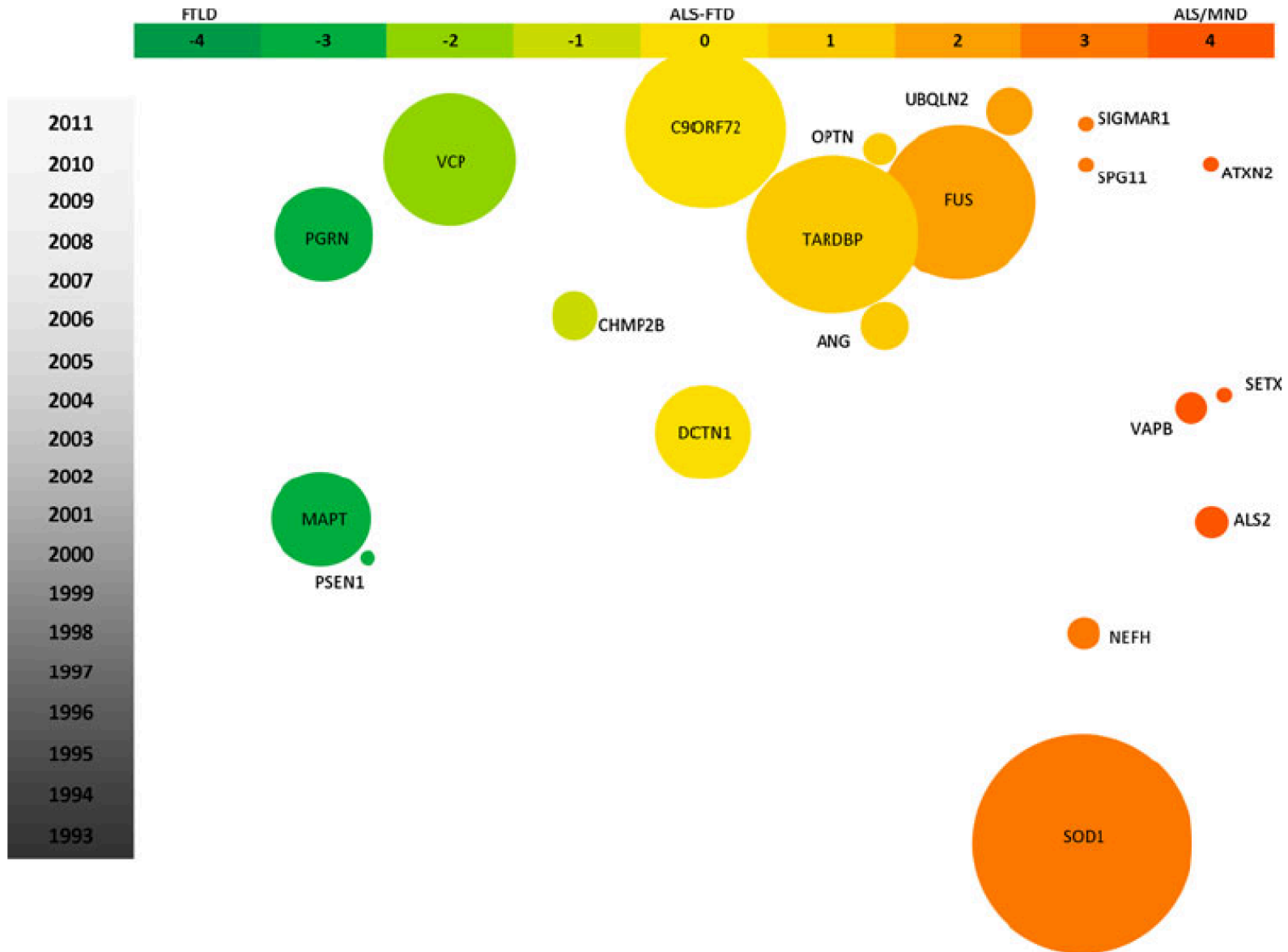


# REASONS FOR HETEROGENEITY

**Genetics**

**Other Unknown Factors**

# ALS genes



# Frequency of known Genes is Not Uniform Across Populations

	ITALIAN COHORT		IRISH COHORT		
	FALS (n=46)	SALS (n=429)	FALS (n=40)	SALS (n=395)	
<b>FREQUENCY of FALS</b>				<b>10.1%</b>	
<b>ANY GENE</b>			50%	1%	
<b>C9orf72</b>	<b>41%</b>	1.9%	50%		<b>9.6%</b>
<b>SOD1</b>	12.9%	1.4%	0	67%	4.5%
<b>TDP-43</b>	9.1%	1.2%	0	0.4%	
<b>FUS</b>	0.7%	0	0	0.6%	
<b>OPTN</b>	1%	0	0	0	
<b>UNKNOWN</b>	33%	N/A	50%	N/A	Kenna et al JMG 2013

**CAUSES OF DISEASE MAY DIFFER**

Diagnosis

Disease Progression

Death



### Hypothesis 1:

Patient A



Patient B



### Hypothesis 2:

Patient A



Patient B



Patient C



### Hypothesis 3:

All patients



# SOLUTIONS

- Drug doesn't work
- Trial design was faulty
- Wrong dose was used
- Drug didn't get to the right target
- Wrong patient group was used
- **More efficient screening, Better drugs ?multiple actions?**
- **Better trial design**
- **Better pharmacokinetics**
- **Better biomarkers**
- **Better patient groups**

# **NEW DRUGS & BETTER TRIALS**



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# PERSONALIZED MEDICINE

